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| v UTILITY | Attorney Docket No. | PC25077A | | | | | | | | |
| PATENT APPLICATION | First Inventor | Jotham W | . Coe | | | | | | | |
| TRANSMITTAL | Title | THE TREAT | DIAZABICYCLIC COMPOUNDS USEFUL IN THE TREATMENT OF CNS AND OTHER DISORDERS | | | | | | | |
| (Only for new nonapplications under 37C.F.R. §1.53(b)) | Express Mail Label N | lo. ET3470548 | 304US | | | | | | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: | Commiss Box 1450 | Patent Application ioner for Patents ia, VA 22313-1450 | | | | | | | |
| M | | | | | | | | | | |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. Applicant claims small entity status | compute 8. Nucleotide and | er Program (Appen Mor Amino Acid Se | cate, large table or dix) quence Submission | | | | | | | |
| See 37 CFR 1.27 | (if applicable, a | all necessary) | | | | | | | | |
| 3. Specification [Total Pages 72] (preferred arrangement set forth below) | | Computer Readab | | | | | | | | |
| - Descriptive title of the Invention | · [] | cation Sequence L | _ | | | | | | | |
| - Cross References to Related Applications - Statement Regarding Fed sponsored R&D | i. | CD-ROM or CD-R | (2 copies) | | | | | | | |
| Reference to sequence listing, a table, | ii , | Paper | | | | | | | | |
| or a computer program listing appendix | c § | Statement verifying | g identity of above copies | | | | | | | |
| - Background of the Invention | ACCOM | PANYING APPI | ICATION PARTS | | | | | | | |
| Brief Summary of the Invention Brief Description of the Drawings (if filed) Detailed Description | | ent Papers (cover | sheet & document(s)) Power of Attorney | | | | | | | |
| Claim(s) Abstract of the Disclosure | | nere is an assigne | | | | | | | | |
| | | Translation Docum | · — | | | | | | | |
| 4. Drawing(s) (35 U.S.C. 113) [Total sheets | | ion Disclosure nt (IDS)/PTO-144! | Copies of IDS Citations | | | | | | | |
| 5. Oath or Declaration Total pages 1 | 13. Prelimina | ary Amendment | | | | | | | | |
| a. Newly executed (original or copy) b. Copy from a prior application (37 CFR §1.63(d)) | , — | Receipt Postcard (l | • | | | | | | | |
| (for continuation/divisional with Box 18 completed) | | Copy of Priority D | | | | | | | | |
| i. <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 16. Nonpubl | ication Request ui | nder 35 U.S.C. 122 attach form PTO/SB/35 | | | | | | | |
| 6 Application Data Sheet. See 37 CFR 1.76 | 17. Other: | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and su or in an Application Data Sheet under 37CFR 1.76. | pply the requisite informat | tion below and in a p | reliminary amendment, | | | | | | | |
| Continuation Divisional Continuation-in-part (CIP) of prior application No:/ | | | | | | | | | | |
| Prior application information: Examiner | | Group/Art | | | | | | | | |
| For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the p 5b, is considered a part of the disclosure of the accompanying continuation of the incorporation can only be relied upon when a portion has been inadverten | divisional application and tly omitted from the subm | is hereby incorpora itted application part | ted by reference. | | | | | | | |
| 19. CORRESP | PONDENCE ADDRE | :SS | | | | | | | | |
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| Country Telephone | | Fax | | | | | | | | |
| NAME (Print/type) A. David Agran | Registration No. (Atto | | 37,858 | | | | | | | |
| Signature | D | ate | September 8, 2003 | | | | | | | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending poin the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO

PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
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| EEE TO A NOMETAL | Complete if Known | | | | | | | | |
|--|-------------------------------------|-----------------------------|----------------|----------------------|---|-----------|--|--|--|
| FEE TRANSMITTAL | Application Number Not yet assigned | | | | | | | | |
| f EV 2002 | Filing Dat | | | | Concurrently herewith | | | | |
| for FY 2003 | | | | | Jotham W. C e | | | | |
| Effective 01/01/2003. Patent fees are subject to annual revision. | Exami | iner Nam | 1 | | | | | | |
| Applicant claims small status. See 37 CFR 1.27 | Art Ur | nit | | | | | | | |
| Total Amount of Payment (\$)750 | Attorn | ney Dock | | | PC25077A | | | | |
| METHOD OF PAYMENT (check all that apply) | | FEE CALCULATION (continued) | | | | | | | |
| ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None | | 3. ADDITIONAL FEES | | | | | | | |
| Order | <u>Large</u> Fee | Entity Fee | Small E Fee | <u>-ntity</u> Fee | Fee Description | Fee | | | |
| Deposit Account: | Code | (\$) | Code | (\$) | | Paid | | | |
| Deposit Account 16-1445 | 1051 | 130 | 2051 | 65 | Surcharge – late fee or oath | | | | |
| Number Deposit Pfizer Inc Account | 1052 | 50 | 2052 | 25 | Surcharge-late filing fee or cover sheet | | | | |
| Name | 1053 | 130 | 1053 | 130 | Non-English specification | | | | |
| The Director is authorized to: (check all that apply) | 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | | | | |
| Charge fee(s) indicated below Credit any overpayments | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to | 一一 | | | |
| Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee | 1805 | 1,840* | 1805 | 1,840* | Examiner action Requesting publication of SIR after | | | | |
| to the above-identified deposit account. | ٠,,,, | 440 | 2254 | 55 | Examiner action Extension for reply within first month | | | | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | • • | | | | |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for reply within second month | | | | |
| Large Entity Small Entity | 1253 | 930 | 2253 | 465 | Extension for reply within third month | | | | |
| Fee Fee Fee Fee Description Fee Paid | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | | | | |
| Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee 750 | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | | | | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | | | | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | | | | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | 140 | Request for oral hearing | | | | |
| 1005 160 2005 80 filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use | | | | |
| | 1452 | 110 | 2452 | 55 | proceeding Petition to revive unavoidable | | | | |
| Subtotal (1) \$ 750 | 1453 | 1,300 | 2453 | 650 | Petition to revive – unintentional | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | | | | |
| Extra Claims Fee from Fee Paid | 1502 | 470 | 2502 | 235 | Design issue fee | | | | |
| Total Claims 28 -20 = 0 x 18 = 0.00 | 1503 | 630 | 2503 | 315 | Plant issue fee | | | | |
| 10tal Claims 28 20 0 18 0.00 | 1 | 130 | 1460 | 130 | Petitions to the Commissioner | | | | |
| Independent | 1460 | | | | Petitions to the Commissioner | | | | |
| Multiple Dependent = 0.00 | 1807 | 50 | 1807 | 50 | Submission of Information Disclosure Stmt | | | | |
| Large Entity Small Entity | 1806 | 180 | 1806 | 180 | | | | | |
| Fee Fee Fee Fee Description | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | | | | |
| Code (\$) Code (\$) 2202 9 Claims in excess of 20 | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | | | | |
| 1201 84 2201 42 Independent claims in excess of 3 | 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | | | | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1801 | 750 | 2810 | 375 | Request for Continued Examination (RCE) | | | | |
| 1204 84 2204 42 **Reissue independent claims over | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | | | |
| 1205 18 2205 9 **Reissue independent claims over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | | | |
| Other Fee (specify) | | | | | | | | | |
| SUBTOTAL (2) (\$) O | *Redu | uced by Ba | asic Filin | g Fee Pai | d Subtotal (3) (\$) | | | | |
| SUBMITTED BY (Complete if applicable) | | | | | | | | | |
| Name (Printed/Type) A. David Joran Registration No. (Attorney Agent) Telephone 212-/33-3381 | | | | | | | | | |
| Signature / MJaw W | | | | | Date Septembe | r 8, 2003 | | | |

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